

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IFW



Applicant: Sony Corporation and )  
Sony Electronics, Inc. )  
Serial No.: 10/015,724 )  
Filing Date: December 6, 2001 )  
For: System and Method for Conducting Financial )  
Transactions Using A Personal Transaction Device with )  
Vehicle-Accessed, Payment-Gateway Terminals )  
Examiner: Unk. )  
Art Unit: 2165 )  
Case No.: 50P4506.01 )  
December 7, 2004 )  
750 "B" Street, Suite 3120 )  
San Diego, CA 92101 )

**TRANSMITTAL LETTER FOR  
RESPONSE TO NOTICE REGARDING POWER OF ATTORNEY**

Commissioner for Patents  
Mail Stop Missing Parts  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

In response to the Notice Regarding Power of Attorney dated November 29, 2004, please record the enclosed assignment which was filed with the original application but was not recorded. Enclosed herewith are the following:

1. Copy of the Notice Regarding Power of Attorney
2. Statement of Authority
3. Revocation of Power of Attorney with New Power of Attorney and Change of Address
4. Copies of the transmittal letter and dated stamped postcard showing an assignment was filed
5. Copy of the originally filed credit card form providing payment for the assignment recordation
6. A copy of the recordation cover sheet and the executed assignment
7. Acknowledgment postcard

Respectfully submitted,

John L. Rogitz  
Attorney of Record  
Registration No. 33,549  
750 "B" Street, Suite 3120  
San Diego, CA 92101  
Telephone: (619) 338-8075

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage fully prepaid, under 37 CFR 1.8, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on  
DECEMBER 7, 2004

Date Signed: DECEMBER 7, 2004

  
JEANNE GAHAN

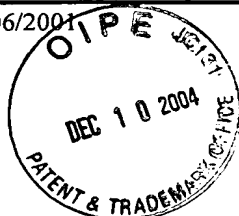


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/015,724	12/06/2001	Michael L. Maritzen	50P4506.01

Rogitz & Associates  
750 B Street, Suite 3120  
San Diego, CA 92101



CONFIRMATION NO. 8876  
\*OC000000014623740\*  
\*OC000000014623740\*

Date Mailed: 11/29/2004

**NOTICE REGARDING POWER OF ATTORNEY**

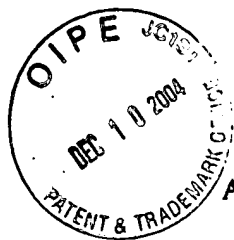
This is in response to the Power of Attorney filed 02/04/2004 . The Power of Attorney in this application is not accepted for the reason(s) listed below:

- The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.

LOWUAN ELLIS  
3600 (703) 306-0423

FORMER ATTORNEY/AGENT COPY

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Sony Corporation and  
Sony Electronics, Inc.

Serial No.: 10/015,724

Filing Date: December 6, 2001

For: System and Method for Conducting Financial  
Transactions Using A Personal Transaction Device with  
Vehicle-Accessed, Payment-Gateway Terminals

Examiner: Unk.

Art Unit: 2165

Case No.: 50P4506.01

December 4, 2004

750 "B" Street, Suite 3120

San Diego, CA 92101

**STATEMENT OF AUTHORITY**  
**TO SIGN DOCUMENTS ON BEHALF OF APPLICANT**

Commissioner for Patents  
Mail Stop Patent Applications  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

In response to the Notice Regarding Power of Attorney dated November 29, 2004, the undersigned, Harold T. Fujii, (whose title appears below), has been granted legal Authority by the Applicant (Assignee listed in the enclosed assignment) and is empowered to sign any and all documents relating to the above-referenced patent application. The undersigned hereby authorizes John L. Rogitz to represent the applicant before all competent Authorities for the above-captioned case.

Harold T. Fujii  
Vice President of Intellectual Property Dept.  
Registration No. 38,458

Dated: 12/06/2004

Respectfully submitted,

John L. Rogitz  
Attorney of Record  
Registration No. 33,549  
750 "B" Street, Suite 3120  
San Diego, CA 92101  
Telephone: (619) 338-8075



**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/015,724
Filing Date	12/06/2001
First Named Inventor	Maritzen
Art Unit	Unk.
Examiner Name	Unk.
Attorney Docket Number	50P4506.01

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 36738

☐ Please change the correspondence address for the above-identified application to:

☐ The address associated with  
Customer Number:

OR

☒ Firm or  
Individual Name John L. Rogitz  
Rogitz & Associates

Address 750 B street

Address Suite 3120

City San Diego State California Zip 92101

Country United States of America

Telephone (619) 338-8075 Fax (619) 338-8078

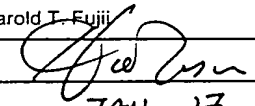
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name Harold T. Fujii

Signature 

Date JAN 27, 2004 Telephone (408) 955-5499

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



The U.S. Patent & Trademark Office acknowledges receipt of the following enclosures and assigns the serial number and/or date stamped hereon:

**Applicant:** Maritzen et al.  
**Title:** System and Method for Conducting Financial Transactions  
Using A Personal Transaction Device with Vehicle-Accessed,  
Payment Gateway Terminals

**Docket No.:** 50P4506.01

**Enclosures:**

1. A copy of the Notice of Abandonment;
2. A Petition for Revival of an Application for Patent Abandoned Unintentionally (2 pgs.)
3. A Petition to Revive (2 pgs.)
4. A Petition to Invoke Rule 47 (3 pgs.)
5. A copy of a letter from Michael Maritzen (1 pg.)
6. A copy of Michael Maritzen's employment agreement with Sony Corporation (1 pg.)
7. Co-Inventor's Declaration (2 pgs.)
8. A copy of the Notice to File Missing Parts
9. An original signed Declaration (5 pgs.)
10. An assignment recordation cover sheet & assignment (5 pgs.)
11. *Credit Card Authorization Form J6*

**Date Mailed:** JUNE 3, 2004

**Express Mail Label No.:** EV546242055US

1168.102 *4 J6*

The U.S. Patent & Trademark Office acknowledges receipt of the following enclosures and assigns the serial number and/or date stamped hereon:

**Applicant:** Maritzen et al.  
**Title:** System and Method for Conducting Financial Transactions  
Using A Personal Transaction Device with Vehicle-Accessed,  
Payment Gateway Terminals

**Docket No.:** 50P4506.01

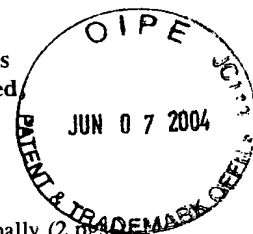
**Enclosures:**

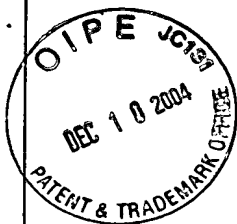
1. A copy of the Notice of Abandonment;
2. A Petition for Revival of an Application for Patent Abandoned Unintentionally (2 pgs.)
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**Date Mailed:** JUNE 3, 2004

**Express Mail Label No.:** EV546242055US

1168.102 *4 J6*





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Maritzen	)	Art Unit: unk.
	)	
Serial No.: 10/015,724	)	Examiner: unk.
	)	
Filed: December 6, 2001	)	50P4506.01
	)	
For: System and Method for Conducting Financial	)	June 4, 2004
Transactions...	)	750 B Street, Suite 3120
	)	San Diego, CA 92101
	)	

**TRANSMITTAL LETTER FOR RESPONSE TO NOTICE OF ABANDONMENT;  
RESPONSE TO NOTICE TO FILE MISSING PARTS; PETITION TO INVOKE RULE 47**

Commissioner for Patents  
Alexandria, VA 22313

Dear Sir:

In response to the Notice of Abandonment dated January 6, 2004, and in response to the Notice to File Missing Parts dated January 23, 2002, enclosed herewith are the following:

1. A copy of the Notice of Abandonment;
2. A Petition for Revival of an Application for Patent Abandoned Unintentionally (2 pgs.)
3. A Petition to Revive (2 pgs.)
4. A Petition to Invoke Rule 47 (3 pgs.)
5. A copy of a letter from Michael Maritzen (1 pg.)
6. A copy of Michael Maritzen's employment agreement with Sony Corporation (1 pg.)
7. Co-Inventor's Declaration (2 pgs.)
8. A copy of the Notice to File Missing Parts
9. An original signed Declaration (5 pgs.)
10. An assignment recordation cover sheet
11. An original assignment (4 pgs.)
12. An acknowledgment postcard

The Commissioner is authorized to charge the following fees to the credit card indicated on the Credit Card Authorization form enclosed, and is additionally authorized to credit overpayments or charge fees in addition to those listed below, should they be required under 37 CFR 1.16 or 1.17.

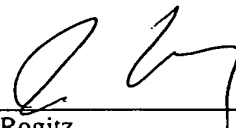
1. \$1330 - Petition to Revive fee
2. \$1610 for Missing Parts surcharge plus 4 months extension of time
3. \$130 for Non-signing inventor fee
4. \$40 for Recordation of Assignment fee

CASE NO.: 50P4506.01  
Serial No.: 10/015,724  
June 4, 2004  
Page 2

PATENT  
Filed: December 6, 2001

## BEST AVAILABLE COPY

Respectfully submitted,



John L. Rogitz  
Registration No. 33,549  
Attorney of Record  
750 B Street, Suite 3120  
San Diego, CA 92101  
Telephone: (619) 338-8075

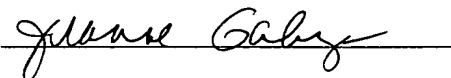
### CERTIFICATE OF EXPRESS MAILING

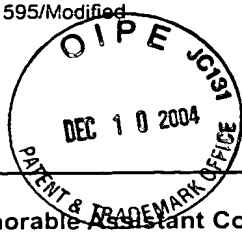
I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, Express Mailing label no. EV546242055 US under 37 CFR 1.10, addressed to Mail Stop - Petition, Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313 on JUNE 4, 2004.

Date of Deposit: JUNE 4, 2004

Person mailing paper/fee: Jeanne Gahagan

Signature





RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party:

- (1) Michael L. Maritzen
- (2) Hiro Yasuda
- (3) Robert Tanner
- (4) Harold Aaron Ludtke

2. Name and address of receiving parties:

Sony Corporation  
7-35 Kitashinagawa 6-Chome  
Shinagawa-Ku  
Tokyo, Japan

and

Sony Electronics Inc.  
1 Sony Drive  
Park Ridge, New Jersey 07656

3. Nature of conveyance: Assignment

Execution Dates: (1) N/A (2) 02/01/2004 (3) 01/08/2004 (4) 01/16/2004

4. Application number: 10/015,724

Docket No.: 50P4506.01

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed): (1) N/A (2) 02/01/2004 (3) 01/08/2004 (4) 01/12/2004

5. Name and address of party to whom correspondence concerning Assignment should be mailed:

John L. Rogitz  
Rogitz & Associates  
750 B Street, Suite 3120  
San Diego, CA 92101

6. Total number of applications involved: 1

7. Total fee (37 CFR 3.41) = \$40.00 Enclosed

8. The Commissioner is authorized to charge \$40 to the credit card indicated on the attached Credit Card authorization form.

DO NOT USE THIS SPACE

8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John L. Rogitz  
Attorney Name

  
Signature of Attorney

JUNE 4, 2004  
Date

Total number of pages enclosed including cover sheet 5



ASSIGNMENT

WHEREAS, I, as a below named inventor, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in **SYSTEM AND METHOD FOR CONDUCTING FINANCIAL TRANSACTIONS USING A PERSONAL TRANSACTION DEVICE WITH VEHICLE-ACCESSED, PAYMENT GATEWAY TERMINALS** for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan and Sony Electronics Inc. a Delaware corporation with offices at 1 Sony Drive, Park Ridge, New Jersey 07656 (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignees of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEES thereof shall hereafter require and prepare at its own expense;

**Docket No. 50P4506.01**

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

And I hereby authorize and request my attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in the spaces that follow:  
Serial Number: 10/015,724 , Filing Date: Decmber 6, 2001 .

This assignment is being executed on the date indicated below.

\_\_\_\_\_  
MICHAEL L. MARITZEN Dated: \_\_\_\_\_

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year of \_\_\_\_\_, before me,  
\_\_\_\_\_, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

\_\_\_\_\_  
Notary Public

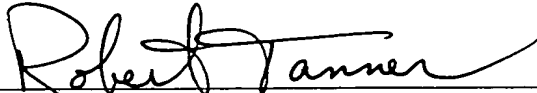
  
\_\_\_\_\_  
HIRO YASUDA Dated: Feb 1st 2004

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year of \_\_\_\_\_, before me,  
\_\_\_\_\_, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

\_\_\_\_\_  
Notary Public

Docket No. 50P4506.01

  
\_\_\_\_\_  
ROBERT TANNER

Dated: Jan. 8, 2004

STATE OF \_\_\_\_\_ )

ss.

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year of \_\_\_\_\_, before me,  
\_\_\_\_\_, Notary Public, personally appeared the above-named assignors, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to  
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the  
person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

\_\_\_\_\_  
Notary Public

Dated: \_\_\_\_\_

\_\_\_\_\_  
HAROLD AARON LUDTKE

STATE OF \_\_\_\_\_ )

ss.

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year of \_\_\_\_\_, before me,  
\_\_\_\_\_, Notary Public, personally appeared the above-named assignors, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to  
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the  
person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
ROBERT TANNER  
Dated: \_\_\_\_\_

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, in the year of \_\_\_\_\_, before me,  
\_\_\_\_\_, Notary Public, personally appeared the above-named assignors, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to  
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the  
person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

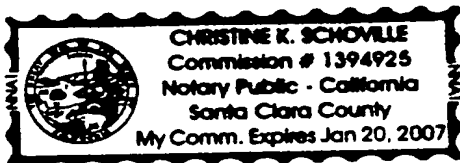
\_\_\_\_\_  
Notary Public

Harold Aaron Ludtke  
HAROLD AARON LUDTKE

Dated: 1/16/04

STATE OF California )  
COUNTY OF Santa Clara ) ss.

On this 16 day of January, in the year of 2004, before me,  
Christine K. Schoville, Notary Public, personally appeared the above-named assignors, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to  
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the  
person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



Christine K. Schoville  
Notary Public



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**United States Patent and Trademark Office****Credit Card Payment Form****Please Read Instructions before Completing this Form**

<b>Credit Card Information</b>			
<b>Credit Card Type:</b> <input type="checkbox"/> Visa <input type="checkbox"/> MasterCard <input checked="" type="checkbox"/> American Express <input type="checkbox"/> Discover			
Credit Card Account #: <del>XXXXXXXXXXXX</del>			
Credit Card Expiration Date: 06/07			
Name as it Appears on Credit Card: Jeanne Gahagan			
Payment Amount: \$ (US Dollars): \$2110			
Cardholder Signature: <i>Jeanne Gahagan</i>		Date: 6-3-07	
<small>Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.</small>			
<small>Service Charge: There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).</small>			
<b>Credit Card Billing Address</b>			
Street Address 1: 750 B Street			
Street Address 2: Suite 3120			
City: San Diego			
State/Province: California		Zip/Postal Code: 92101	
Country: United States			
Daytime Phone #: (619) 338-8075		Fax #: (619) 338-8078	
<b>Request and Payment Information</b>			
Description of Request and Payment Information: 1. PETITION TO REVOKE - \$1330 2. MISSING PART SURCHARGE & 4 MONTHS extension of time - \$1610 3. NON-SIGNING INVENTOR FEE \$130 4. RECORDATION OF ASSIGNMENT - \$40			
<input checked="" type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No. 10/015,724	Application No.	Application No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No. 50P4506.01		Identify or Describe Mark	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.